

1 Enteric Human Pathogens Associated with Fresh Produce: Sources, Transport, and Ecology

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Introduction

Now in the cold parts of the country, don't you think people get to wanting perishable things in the winter—like peas and lettuce and cauliflower? In a big part of the country they don't have those things for months and months. And right here in Salinas valley we can raise them all the year round. ... Do you know we could ship lettuce right to the east coast in the middle of winter?"

John Steinbeck

In 1952, John Steinbeck through his character Adam Trask in "*East of Eden*" commented on the desirability of fresh produce and the uniqueness of the climate and soil conditions of the Salinas Valley of California for providing leafy greens and other vegetables year-round to the rest of the nation. The development of this region on the central coast of California, known as the "Salad Bowl of America," is linked closely to the growth of fresh produce consumption in the U.S. as a result of increased seasonal availability, new varieties of domestic and imported produce, and increased interest in the nutritional and health benefits of fresh produce (Clemens 2004). The growing global economy has continued demand for fresh produce and involves shipping produce long distances rapidly. Increased mechanization and efficiency of production, new and improved cultivars, and new chemicals to treat plant disease and new products have been developed to meet this demand. Minimally processed, bagged produce is a relatively recent new product to help meet the growing demand for fresh produce (USDA-ERS 2001).

An unintended consequence of increased consumption of fresh and bagged produce, however, is an increase in illnesses and outbreaks, including some multistate and multicountry outbreaks. Some of the higher profile outbreaks have been caused by *E. coli* O157:H7-contaminated leafy vegetables, in addition to outbreaks caused by *Salmonella*-contaminated tomatoes, cantaloupe, and other produce items. Investigations of some of these outbreaks have led some to conclude that contamination occurred probably in the field, i.e., preharvest contamination (CalFERT 2007a,b, 2008; Hedberg and others 1999; Gupta and others 2007; Greene and others 2008; Castillo and others 2004).

The leafy green outbreaks appear not to be associated simply with an increase in consumption. Leafy green consumption between 1996 and 2005 increased 9% compared to the previous decade, but outbreaks associated with leafy greens increased 38.6%, with a majority of them caused by *E. coli* O157:H7 (Herman and others 2008).

Outbreaks associated with these commodities occurring since 2000 have led to proposals and active studies to identify the risk factors that may enhance preharvest contamination of produce. However, no single risk factor can explain these multiple outbreaks associated with different production environments, processes, produce items, and pathogens. Rather, it is probable that a convergence of multiple dynamic events involving more than one factor are required to cause major, noticeable outbreaks. Each outbreak may be caused by one or more events different from other outbreaks, even though some common factors are suspected, such as the probable source (e.g., live-stock, wild animal) and mechanisms of transport from a source to a field (e.g., watersheds, animal intrusions, aerosols). However, the mechanisms of survival of pathogens in complex environments, and locations and conditions where amplification of their numbers might occur, have not been well documented.

Reviews describing the sources, fate, and transport of pathogens as potential risk factors relevant to preharvest contamination have been published previously; they provide background and specific details that will be summarized in this review. Studies of the incidence and fitness of *E. coli* O157:H7 and *Salmonella* in the produce production environment associated with leafy vegetables, tomatoes, and cantaloupes will be emphasized since they have been associated with multiple outbreaks suspected of being caused by preharvest contamination in the U.S. and Mexico. However, the same environmental factors described for these two pathogens and implicated commodities will apply generally to other pathogens associated with produce contamination, except for specific fitness characteristics that might be linked to a specific commodity. Information related to the incidence and survival of bacterial pathogens and fecal indicators in the production environment, and potential transport processes and risk factors associated with growing fresh produce in dynamic, agricultural regions are presented.

Outbreaks Associated with Selected Fresh Produce Commodities

An unintended consequence of the increased production and consumption of fresh produce is an increase in the number of outbreaks of foodborne illness (CSPI 2007; Sewell and Farber 2001; Sivapalasingam and others 2004). The produce items and types of pathogens associated most frequently with outbreaks in the U.S. (Sivapalasingam and others 2003) and other industrialized countries (Sewell and Farber 2001) have been reported previously, and documented in previous review articles about this subject (Nguyen and Carlin 1994; Beuchat 1996, 2006; Seymour and Appleton 2001; Harris and others 2003; Mandrell and Brandl 2004; Johnston and others 2006b). However, selected data related to outbreaks linked with fresh leafy vegetables and tomatoes will be emphasized in this review in support of the theory that multiple recent outbreaks have resulted from preharvest contamination, especially large multistate or multicountry outbreaks (Table 1.1).

The total number of cases of foodborne illness in the United States has been estimated to be approximately 76 million illnesses per year, associated with 325,000 hospitalizations and 5000 deaths (Mead and others 1999). In a recent review of outbreaks associated specifically with fresh produce, the U.S. Centers for Disease Control and Prevention (CDC) analyzing data from the CDC Foodborne Outbreak Surveillance

Table 1.1. Selected outbreaks associated with enteric human pathogens and fresh produce^a

Pathogen	Month-Year	Location^b	No. Ill	Known or Suspected Vehicle	Source Region^c	Reference
<i>E. coli</i> O157:H7	Jul-95	MT	74	Lettuce, Romaine	MT, WA	Ackers and others 1998
<i>E. coli</i> O157:H7	Sep-95	ME	30	Lettuce, Iceberg	Unknown	CDC 1995
<i>E. coli</i> O157:H7	Sep-95	ID	20	Lettuce, Romaine	Unknown	CSPI 2008
<i>E. coli</i> O157:H7	Oct-95	OH	11	Lettuce	Unknown	CDC 1995
<i>E. coli</i> O157:H7	May-96	IL, CT	61	Lettuce, Mesclun mix	CA (SV)	Hilborn and others 1999
<i>E. coli</i> O157:H7	Jun-96	NY	7	Lettuce, Mesclun	Unknown	CDC 1996
<i>E. coli</i> O157:H7	May-98	CA	2	Lettuce, salad	Unknown	CDC 1998
<i>E. coli</i> O157:H7	Sep-98	MD	4	Lettuce	Unknown	CDC 1998
<i>E. coli</i> O157:H7	Feb-99	NE	65	Lettuce, salad	Unknown	CDC 1999
<i>E. coli</i> O157:H7	Sep-99	CA	8	Lettuce, Romaine	CA (SV)	CDC 1999
<i>E. coli</i> O157:H7	Sep-99	WA	6	Lettuce, Romaine	CA (SV)	CDC 1999
<i>E. coli</i> O157:H7	Oct-99	OH, IN	47	Lettuce, salad	Unknown	CDC 1999
<i>E. coli</i> O157:H7	Oct-99	OR	3	Lettuce, Romaine hearts	CA (SV)	CDC 1999
<i>E. coli</i> O157:H7	Oct-99	PA	41	Lettuce, Romaine	CA (SV)	CDC 1999
<i>E. coli</i> O157:H7	Jul-02	WA	29	Lettuce, Romaine	CA (SV)	CDC 2002
<i>E. coli</i> O157:H7	Nov-02	IL, WI, MN, SD, UT	24	Lettuce	CA (SJov)	CDC 2002
<i>E. coli</i> O157:H7	Sep-03	CA	57	Lettuce, Iceberg/Romaine	CA (SV)	CDHS 2004a
<i>E. coli</i> O157:H7	Sep-03	ND	5	Lettuce, mixed with Romaine	Unknown	CDC 2003
<i>E. coli</i> O157:H7	Oct-03	CA	16	Spinach	CA (SV)	CDHS 2004b
<i>E. coli</i> O157:H7	Nov-04	NJ	6	Lettuce	CA (SV)	CDC 2004
<i>E. coli</i> O157:H7	Sep-05	MN	11	Romaine, also vegetables	CA (SV)	MDPH 2006
<i>E. coli</i> O157:H7	Aug/Sep-05	Sweden	135	Lettuce, iceberg	Sweden	Soderstrom and others 2008
<i>E. coli</i> O157:H7	Aug/Sep-06	Multi (26 states)	>200	Spinach, baby, bagged	CA (SJuv)	CalFERT 2007b,c
<i>E. coli</i> O157:H7	Nov-06	NJ, NY, PA, DE	71	Lettuce, Iceberg	CA (CentV)	CalFERT 2007a
<i>E. coli</i> O157:H7	Nov/Dec-06	MN, IA, WI	81	Lettuce, Iceberg	CA (CentV)	CalFERT 2008
<i>E. coli</i> O157:H7	May-08	WA	10	Lettuce, Romaine	CA (SV)	WDOH 2008
<i>S. Saphra</i>	Feb/May-97	Multi	24	Cantaloupe	Mexico	Mohle-Boetani and others 1999
<i>S. Poona</i>	Spring-00-02 ^d	Multi, Canada	58	Cantaloupe	Mexico	MMWR 2002

Table 1.1. *Continued*

Pathogen	Month-Year	Location ^b	No. Ill	Known or Suspected Vehicle	Source Region ^c	Reference
<i>S. Litchfield</i>	Jan/Mar-08	Multi, Canada	51	Cantaloupe	Honduras	CDC 2008a
<i>S. Newport</i>	May/Jun-01	U.K.	19	Vegetables, bagged	Italy, Spain	Sagoo and others 2003
<i>S. Thompson</i>	Oct/Dec-04	Multi, Europe	21	Rucola (arugula)	Italy	Nygard and others 2008
<i>S. Thompson</i>	Mar-99	CA	741	Cilantro	Mexico (suspected)	Campbell and others 2001
<i>S. Javiana</i>	Jun/Aug-90	IL, MI, MN, WI	176	Tomatoes	SC	Hedberg and others 1999
<i>S. Montevideo</i>	Jun/Aug-93	IL, MI, MN, WI	100	Tomatoes	SC	Hedberg and others 1999
<i>S. Baildon</i>	Dec-98–Jan-99	Multi	86	Tomatoes	FL	Cummings and others 2001
<i>S. Javiana</i>	Jun/Jul-02	FL	141	Tomatoes, prediced	?	Srikantiah 2002; Gupta and others 2007
<i>S. Newport</i>	Sep/Oct-02	Multi	510	Tomatoes	VA	Greene and others 2008
<i>S. Braenderup</i>	Jul-04	Multi	125	Tomatoes	FL	Gupta and others 2007
<i>S. Javiana</i> and other serovars	Jul-04	Multi	429	Tomatoes, presliced	?	Gupta and others 2007
<i>S. Newport</i>	Jul/Nov-05	Multi	72	Tomatoes	VA	MMWR 2007a; Greene and others 2008
<i>S. Braenderup</i>	Nov/Dec-05	Multi	82	Tomatoes, prediced	FL	MMWR 2007a
<i>S. Newport</i>	Jul/Nov-06	Multi	115	Tomatoes	?	MMWR 2007a
<i>S. Typhimurium</i>	Sep/Oct-06	Multi	190	Tomatoes	OH	MMWR 2007a
<i>S. Enteritidis</i>	Oct-00–Jul-01	Multi, Canada	168	Almonds, raw	CA	Isaacs and others 2005
<i>S. Enteritidis</i>	Sep-03–Apr-04	Multi, Canada	29	Almonds, raw	CA	MMWR 2004

Table 1.1. Continued

Pathogen	Month-Year	Location ^b	No. Ill	Known or Suspected Vehicle	Source Region ^c	Reference
<i>S. Enteritidis</i>	Dec-05–Aug-06	Sweden	15	Almonds, raw	CA	Ledet Muller and others 2007
<i>S. St. Paul</i>	Apr/Jul-08	Multi	>1200	Peppers ^e	Mexico (suspected)	CDC 2008b
<i>Shigella flexneri</i>	May-01	NY	886	Tomatoes	FL	Reller and others 2006
<i>Shigella sonnei</i>	Aug-04	Multi	116	Carrots	CA?	Gaynor and others 2009
<i>Yersinia pseudotuberculosis</i>	Oct-98	Finland	47	Lettuce, iceberg	Finland	Nuorti and others 2004
<i>Yersinia pseudotuberculosis</i>	Aug/Sep-06	Finland	>400	Carrots	Finland	Rimhanen-Finne and others 2009

^aOutbreaks included have been selected based on location or suspected preharvest contamination. Outbreaks associated with almonds have been included because of recurrent outbreaks suspected of being linked to a common location.

^bU.S. states are designated by the two-letter abbreviations; Multi = multiple states involved.

^cSV, Salinas Valley, CA; SJoV, San Joaquin Valley, CA; SJuV, San Juan Valley, CA; CentV, Central Valley, CA. Some location information was provided by California Dept. of Public Health (personal communication). Unknown = traceback not done or incomplete.

^dRepresents three outbreaks (2000, 2001, 2002); the 2000 and 2002 outbreaks were caused by the same strain.

^eCases occurred in 43 states, Washington, D.C., and Canada; jalapeño peppers grown in Mexico are suspected as the cause of a majority of cases. Serrano peppers and tomatoes not yet cleared as cause of other illnesses, at the time of preparing this review.

System for 1973–1997, identified 190 outbreaks associated with produce, 16,058 illnesses, 598 hospitalizations and 8 deaths (Sivapalasingam and others 2003). An updated review by CDC of outbreaks associated specifically with leafy greens between 1973 and 2006 determined that 502 outbreaks, >18,000 illnesses, and 15 deaths occurred, with 30 of the outbreaks caused by *E. coli* O157:H7, 35 by *Salmonella*, and 196 by Norovirus (Herman and others 2008). Comparison of the numbers in these two studies reflects the fact that produce-associated outbreaks linked with a known food item increased from 0.7% of all foodborne outbreaks in the 1970s to 6% in the 1990s and has increased further to the present.

The bacterial, viral, and protozoal pathogens associated with fresh produce outbreaks (number of outbreaks) in the U.S. between 1973 and 1997 include the following: *Salmonella* (30 outbreaks), *E. coli* O157:H7 (13), non-O157 *E. coli* (2), *Shigella* (10), *Campylobacter* (4), *Bacillus cereus* (1), *Yersinia enterocolitica* (1), *Staphylococcus aureus* (1), Hepatitis A (12), Norovirus (9), *Cyclospora cayetanensis* (8), *Giardia*

lamblia (5), and *Cryptosporidium parvum* (3); an additional 87 outbreaks were documented without any etiology identified (Sivapalasingam and others 2003). The produce items implicated most frequently in outbreaks are "salad" lettuce, seed sprout, melon and cantaloupe (Sivapalasingam and others 2003).

Multiple sprout outbreaks of *S. enterica* and *E. coli* O157:H7 illness occurring worldwide have been associated usually with sprouts (e.g., alfalfa, mung bean, radish) grown from contaminated seed (Michino and others 1999; Breuer and others 2001; Mahon and others 1997; Proctor and others 2001; Mohle-Boetani and others 2009). The seeds are harvested in different parts of the world (e.g., U.S., Australia, China) under agricultural conditions that in many cases are not controlled well for microbial safety, considering the eventual ready-to-eat product to be produced. The sprouting process involves ideal conditions for enriching even a small concentration of pathogen that may contaminate even a small proportion of the seeds. These conditions emphasize again the importance of the quality of the preharvest environment to produce production at every step of the production cycle, including seed and transplant production, harvesting, and the fields prior to and following harvest (water, fertilizers, crop debris, human and animal visits). Contaminated seeds are not a major risk factor probably in the nonsprout outbreaks to be documented further here; however, seeds should be appreciated as an early preharvest control point in fresh produce production.

Preharvest contamination is suspected in numerous outbreaks associated with leafy vegetables (lettuce and spinach), tomatoes, cantaloupes, and possibly other commodities (e.g., jalapeño peppers, April–July, 2008). For U.S.-grown leafy vegetables alone, there have been more than 20 foodborne outbreaks since 1995 linked to contamination by *E. coli* O157:H7, resulting in at least 600 reported illnesses and 5 deaths. Since 2000, at least 12 outbreaks have been linked to *Salmonella* contaminated tomatoes (>1600 cases) and 3 outbreaks linked to *Salmonella* contaminated cantaloupes (72 cases) (Table 1.1). It is worth noting that, during the final preparation of this review, a major ongoing outbreak of *Salmonella* in St. Paul is associated with jalapeño peppers grown in Mexico and distributed by a company in Texas occurred (CDC 2008b). This was the first reported outbreak associated with this food item; however, additional details will be required to determine whether the contamination occurred on the farm or postharvest (packinghouse). Several outbreaks suspected of being associated with preharvest contamination of tomatoes, lettuce, and carrots by *Shigella* and *Yersinia* species also occurred (Table 1.1). These outbreaks have been listed to emphasize some emerging produce-pathogen issues of concern: preharvest contamination, pathogen persistence and/or fitness in the environment, and diversity of pathogens implicated depending upon local growing conditions (Table 1.1; e.g., leafy vegetables—Western U.S./Sweden/Italy, tomatoes—Eastern U.S., cantaloupe—Mexico, *Yersinia*—Finland).

Previous epidemiological studies of fresh produce outbreaks often lacked definitive evidence of the source of contamination and a step within the food production and processing chain where contamination could have occurred. However, traceback investigations of *E. coli* O157:H7–leafy vegetable outbreaks determined that 12 of them were linked probably to commodity grown on farms in the Salinas Valley, a region located on the Central Coast of California, and the major supplier of fresh produce to the U.S. market (Table 1.1; see references for additional details). Indeed, baby spinach linked to a large multistate outbreak of *E. coli* O157:H7 in the late spring of 2006 was grown in a valley adjacent to the Salinas Valley (CalFERT 2007b;

Cooley and others 2007). Similarly, recurrent outbreaks associated with tomatoes were suspected of being grown on farms in Virginia and Florida, and outbreaks with cantaloupes on farms in Mexico (Table 1.1).

Produce outbreaks linked to a region where a large amount of fresh produce is grown is logical; however, a number of factors revealed by recent outbreak investigations are relevant to concepts of where, when, and how contamination occurs. As noted, outbreaks have been associated with commodities grown in the same region and with preharvest contamination rather than later in the distribution chain (e.g., transport or restaurant). Also, pathogen strains of the same serovar could be isolated from watersheds in the vicinity of implicated fields, and for the first time in recent outbreak investigations, *E. coli* O157:H7 and *Salmonella* strains indistinguishable from the clinical outbreak strains were isolated from environmental samples (CalFERT 2007b, 2007c, 2008; Cooley and others 2007; Greene and others 2008). Therefore, accurate information about the fate and transport processes relevant to contamination processes and the fitness of pathogens near, on, or in produce plants in the field is critical for developing strategies for minimizing preharvest contamination of produce.

Incidence of Human Pathogens on Fresh Produce

How often are produce items contaminated with pathogens? The incidence is very low generally, but any amount may be too much considering the low infectious dose for some of the pathogens, especially *E. coli* O157:H7 on raw produce. The incidence of major foodborne pathogens on different items of fresh produce and in animal hosts has been reported in numerous studies, in addition to data relevant for assessing the survival and fitness of pathogens in agricultural environments such as manure, water, and soil. These data are relevant to consider also for identifying potential point sources and transport processes of pathogens in production environments linked to outbreaks.

Beuchat published in 1996 one of the first and best reviews of reported incidence of common foodborne pathogens on ready-to-eat vegetables, and the potential sources of the pathogens and mechanisms of contamination (Beuchat 1996). The incidence, growth, and survival of foodborne pathogens in fresh and processed produce has been reported also in comprehensive reviews by Nguyen-the and Carlin (Nguyen-the and Carlin 2000) and Harris and others (see Tables I-1 to I-7 in Harris and others 2003), and other recent reviews (Johnston and others 2006b; Beuchat 2006; Mandrell and Brandl 2004). Although distinctions between pre- and postharvest contamination are not provided generally, these reviews provide useful summaries of the different methods for isolating pathogens—for example, *Salmonella*, *Listeria*, *Yersinia*, *Campylobacter* species, *E. coli* O157:H7, and generic *E. coli*—from multiple types of produce items that were grown in different regions of the world.

The incidence of pathogens reported in these separate studies often was between 0 and <10% of all samples tested, with an occasional incidence of >20% reported (Nguyen-the and Carlin 1994; Harris and others 2003; Mandrell and Brandl 2004). Moreover, in the few studies reporting the concentration of pathogen per gram of produce, the levels were low in most studies, even for generic *E. coli*, as a measure of possible fecal contamination. For example, the percentages of positives out of 774 total samples tested for *Salmonella* on leafy vegetables or salad in eight separate studies were 0 (0/151), 0 (0/63), 0.6 (1/159), 0.9 (1/116), 3.5 (2/57), 6.3 (5/80), 7.1

(2/28), and 68% (82/120) (Harris and others 2003). In contrast, all 214 samples of lettuce or salad mix tested for *E. coli* O157:H7 in large U.K. and U.S. studies were negative (Harris and others 2003). Of >3,800 ready-to-eat salad vegetables from retail markets sold in the U.K., only 0.2% were positive for *Salmonella*; an additional 0.5% were considered of poor quality due to contamination with *E. coli* or *L. monocytogenes* at >100 CFU per g of product (Sagoo and others 2003). A survey of "minimally processed" vegetables in Brazil determined that 4 of 181 samples (2.2%) were contaminated with *Salmonella* (Froder and others 2007). Similarly, 180 fresh vegetable samples surveyed in South Africa identified 4 (2.2%) contaminated with *E. coli* O157:H7, and reported levels of *E. coli* O157:H7 as high as 1,600,000 CFU/g of spinach (Abong'o and others 2008). These results reflect the tremendous diversity of produce quality depending upon spatial and temporal factors, and possibly methodological factors.

Multiple outbreaks of *Salmonella* illness associated with tomatoes have occurred recently, but surveys of tomatoes for the incidence of pathogens have been limited. Of 123 samples of domestic (U.S.) tomatoes tested by the U.S. FDA-CFSAN starting in May, 2001, none were positive for *Salmonella* or *E. coli* O157:H7 (FDA-CFSAN 2001b); also, 0/20 imported tomato samples collected starting in March, 1999 were negative for both pathogens (FDA-CFSAN 2001a). However, 11 of 151 imported and 4 of 115 domestic cantaloupe samples in the same surveys were positive for *Salmonella* or *Shigella*. These results appear consistent with the fact that multiple outbreaks occurred in 1997, 2000, 2001, and 2002 due to *Salmonella*-contaminated cantaloupe imported from Mexico (Table 1.1). A large survey of cantaloupe and environmental samples from six farms and packing plants in South Texas and three farms in Mexico resulted in 5/950 and 1/300 cantaloupes positive for *Salmonella*, respectively (Castillo and others 2004). Irrigation-related samples of cantaloupe production (e.g., water source, tank, in field) revealed a higher incidence of *Salmonella* for both Texas and Mexico farms: 13/140 (9.2%) and 10/45 (22.2%), respectively, compared to the commodity. Moreover, generic *E. coli* was isolated at significant levels from some of the samples of Texas and Mexico cantaloupe (3.9%, 25.7%) and Texas and Mexico irrigation water (22.8% and 31.1%, respectively) (Castillo and others 2004). It is noteworthy that none of the 150 field and prewash cantaloupes from Mexico were positive for *E. coli*, compared to 39/75 (52%) and 38/75 (51%) positive samples for the postwash and packed cantaloupe, respectively. Although the concentrations of *Salmonella* and generic *E. coli* in these samples were not reported, these results reflect a prevalence of fecal contamination of water sources (well, river, aquifer, canal, dam), suggesting they may be sources of both pre- and postharvest contamination. Fecal contamination of postharvest processing water is an obvious potential source of cross-contamination of cantaloupes (Castillo and others 2004).

The fitness characteristics of pathogens in the environment are important for their long-term survival and exposure to produce. The long-term persistence in the environment of some foodborne pathogen strains is exemplified by a strain of *S. Enteritidis* implicated in at least one major outbreak, and possibly a minor outbreak, associated with raw almonds in 2000/01 (Isaacs and others 2005) and 2005/06 (Ledet Muller and others 2007), respectively. The *S. Enteritidis* outbreak strain, subtyped as phage type 30, was isolated from a suspect orchard at multiple times over at least a 5-year period,

and with increasing frequency in samples collected during and following harvests (Aug–Dec) and following rain events (Uesugi and others 2007). *Salmonella* strains isolated during the 5-year study were all phage type 30 and indistinguishable from the clinical outbreak strains (or one band difference) by two-enzyme pulsed field gel electrophoresis (PFGE) analysis. Although it was probable that almonds became contaminated by pathogens present in soil/dust where almonds were dropped and then harvested by sweepers, the original source of the outbreak-related strain was never identified, nor were any suspect practices (Uesugi and others 2007).

The extended persistence of any pathogens in an agricultural environment, especially strains that have the potential to cause an outbreak, raises questions relevant to other produce-related outbreaks. Is contamination periodic and cumulative or due to major isolated contamination events? Do persistent strains reflect selection and evolution of special fitness characteristics in a specific environment (e.g., orchard environment; almond, leafy vegetable, tomato surface)? Is the incidence or concentration of pathogens greater now than in the past? Does pathogen survival at low concentrations in harsh soil conditions (dry, high UV) with subsequent resuscitation/amplification (rain/moisture, low UV) relate to virulence? Do certain wildlife species (e.g., mammalian, avian, amphibian) become colonized and high shedders of pathogen and associated with persistent contamination? These and other questions stimulated by recent outbreaks are difficult to answer, but they assist in focusing on areas for further research.

Incidence of Generic *E. coli* on Produce

Increased concerns in the U.S. and other countries about produce-associated outbreaks (Table 1.1) have stimulated initiation of multiple surveys of fresh produce for selected pathogens, and also surveys of the incidence of generic *E. coli* as an indicator of fecal, and potential pathogen, contamination. The results from some of these studies, including recent surveys, are presented to indicate the general microbiological quality of different types of produce grown in different regions conventionally or organically, and tested at different stages of the pre- and postharvest cycle.

A survey of produce items (e.g., arugula, cantaloupe, cilantro, parsley, spinach) collected between November 2000 to May 2002 from 13 farms in the southeastern U.S. revealed *E. coli* levels ranging from 0.7 to 1.5 log CFU/g for field or packing-shed produce (Johnston and others 2005). All samples were negative for *L. monocytogenes* and *E. coli* O157:H7; however, 3 of 398 samples tested for *Salmonella* were positive (0.7%). A similar survey by the same investigators comparing produce grown in the southern U.S. and Mexico involved testing 466 produce items obtained from packing sheds between November 2002 and December 2003. Levels of *E. coli* ranged between 0.7–1.9 and 0.7–4.0 log CFU/g for Mexican and southeastern U.S. produce, respectively (Johnston and others 2006a). All samples were negative for *E. coli* O157:H7, *Salmonella*, and *Shigella*; however, three domestic cabbage samples were positive for *L. monocytogenes* (0.6% of total produce samples; 7% of cabbage samples).

A variety of fresh produce items grown conventionally or organically on farms in Minnesota were picked between May and September 2002 and surveyed for microbiological quality (Mukherjee and others 2004). *E. coli* incidence was 4.3, 11.4, and 1.6%

for 117 certified organic, 359 noncertified organic, and 129 conventional produce items, respectively, and the average *E. coli* counts for the positive samples was reported as 3.1 log MPN/g. The *E. coli* incidence was sixfold higher on organic versus conventional produce and 2.4-fold higher on produce from farms using cattle manure compared to farms using other types of manure. Noncertified organic lettuce had the highest incidence (12/39, 30.8%) for any item with more than 10 samples tested (Mukherjee and others 2004).

The microbiological quality of ready-to-eat produce has been surveyed in other parts of the world. In a study of leafy salads collected from retail markets in Brazil, >85% of 181 samples were reported to have >4 logs *Enterobacteriaceae* per g (Froder and others 2007). Leafy vegetable salads collected postpreparation from 16 university restaurants in Spain yielded 26% positive for *E. coli* (Soriano and others 2001). In contrast, only one (lettuce) of 50 produce items collected from retail and farmers markets in Washington, D.C. was positive for *E. coli* (Thunberg and others 2002). These results suggest major diversity in *E. coli* incidence depending upon the size, time, and location of the study, and possibly differences in the sensitivity of methods.

A study initiated by the USDA Agricultural Marketing Service in 2002 and coordinated with state and other federal agencies to survey the microbial quality of fresh produce items available at terminal markets and wholesale distribution centers continues as of 2008 (USDA-AMS-MDP 2008). The cumulative results over 6 years, with approximately 65,000 samples analyzed to date, provides a significant data set for analyzing spatial, temporal, and other factors related to produce contamination using *E. coli* incidence as the measure of fecal contamination. Multiple commodities, both domestic and imported, have been tested during the program (e.g., cantaloupe, leaf and romaine lettuce, tomatoes, green onions, and alfalfa sprouts) for generic *E. coli*, *E. coli* "with pathogenic potential" (including *E. coli* O157:H7), and *Salmonella*. The results from tests of >59,000 samples from 2002–2007 indicate that low levels of generic *E. coli* are common on produce items collected at the distribution stage of the postharvest production cycle compared to levels on produce in the field (Table 1.2); however, only 1.5 to 2.7% of the samples by year were positive for *E. coli* at concentrations >10 MPN/ml (USDA-AMS-MDP 2008). Moreover, *E. coli* with pathogenic potential based on PCR results for various virulence factors, including shigatoxin 1 and 2 (Stx 1 and 2), ranged from 0.1 to 0.4% of all samples tested each year. Examples of individual produce items having a high percentage of samples positive

Table 1.2. Incidence of *E. coli* on selected fresh produce items obtained and tested in years 2002–2007, as part of the USDA, Agricultural Marketing Service, Microbial Data Program (USDA-AMS-MDP 2008)

Categories	2002	2003	2004 ^a	2005	2006	2007 ^a
Total no. produce samples tested	10,319	10,972	11,211	11,508	7,646	5,279
No. positive for <i>E. coli</i> ^b	759	730	3,226	4,201	1,569	4,420
% positive for <i>E. coli</i>	7.4	6.7	28.8 ^a	36.5	20.5	83.8
% <i>E. coli</i> samples with virulence trait(s)	0.6	0.4	0.4	0.4	0.4	0.1

^a Generic *E. coli* method was modified in 2004 and again in 2007.

^b A sample was considered positive if >0.03 MPN/ml rinse solution was determined.

for *E. coli* were cantaloupe (2004 and 2005, 26–32%), leaf and/or romaine lettuce (2004 and 2005, 25–44%), cilantro (2004 and 2005, 66–71%), and parsley (2004 and 2005, 72%) (USDA-AMS-MDP 2008); data not shown.

A similar survey for *E. coli* on 1,183 produce items grown in Ontario, Canada, in 2004 resulted in a 0, 1.3, 6.5, 11.6, 4.9, and 13.4% reported incidence for tomato, cantaloupe, conventional leaf lettuce, organic leaf lettuce, cilantro, and parsley, respectively (Arthur and others 2007a). However, the concentrations of *E. coli* ranged from >5 to 290 CFU/g for leaf lettuce, to <5 to 7,600 and 16,000 CFU/g for cilantro and parsley, respectively. Only two samples yielded a potential pathogen: *S. Schwarzengrund* in a sample each of Roma tomato and organic leaf lettuce (Table 1.2) (Arthur and others 2007a).

Finally, a recent study of 100 domestic bagged cut spinach and lettuce mixes (conventional and organic) for total bacterial, coliform, and *E. coli* counts reported means of 7.0 to 7.7 log CFU/g, <0.5 to >4.0 log MPN/g and 3 to 9.2 MPN/g (16% of samples), respectively, depending upon the product; 12.1% conventional and 16.6% organic spinach and 23.1% conventional and 6.3% organic lettuce mix samples were positive for *E. coli* (Valentin-Bon and others 2008). These results for bagged leafy greens from retail markets are consistent with surveys of ready-to-eat produce in the U.S. and other countries noted above, and other surveys reporting relatively high incidences of *E. coli* in specific produce items such as lettuces, parsley, and cilantro (Soriano and others 2001; Froder and others 2007; USDA-AMS-MDP 2008).

Significant correlations between the levels of *E. coli* contamination of produce and incidences of major bacterial enteric pathogens are lacking. Thus, *E. coli* incidence can be considered simply an indicator of potential minor or major preharvest contamination, and a risk factor for additional postharvest contamination, cross-contamination during washing, or amplification of bacteria (pathogen) during transport and storage. *E. coli* incidence serves as a moderately effective measure of changes in fecal microbial flora during the produce production and processing cycle, and for assessing the potential for pathogenic strains, if they were to be present, to survive under the same produce processing conditions. The concentration of *E. coli* may be a more relevant indicator of the risks associated with human consumption of a contaminated produce item.

Evidence of fecal contamination as high as 50–70% on some produce items does not correlate necessarily to a higher incidence of illness, unless undetected sporadic illness is occurring. Although major outbreaks are of concern, it should be emphasized that relative to the number of consumptions of ready-to-eat produce (and tree nuts) (many billions), outbreaks are not frequent, causing an extremely low number of known total cases per total consumptions; however, some cases are sporadic probably and never linked to a food source. Nevertheless, vigilance and research are important to identify what is probably a rare convergence of events and/or specific circumstances that result in a major outbreak of disease, some of it severe, and thus, a noticeable event. The relatively low incidence of pathogens on produce measured in surveys seems consistent with the speculation that incidence is very rare and occurs only after multiple unusual circumstances that result also in an outbreak. Surveys of produce are informative because they provide a measure of the background incidence of indicators of fecal contamination and pathogens related to dynamic spatial, temporal, and geographic factors. Incidence in the absence of illness or outbreaks also is informative.

Animal Sources of Enteric Foodborne Pathogens Relevant to Produce Contamination

Carriage of pathogens by food animals is a critical factor relevant to many outbreaks associated with produce, meat, milk, and other food products. Evidence for the colonization of cattle (Elder and others 2000; Hussein and Bollinger 2005; Fegan and others 2005; Low and others 2005; Dargatz and others 2003), swine (Chapman and others 1997; Jay and others 2007), sheep (Ogden and others 2005), poultry (Chapman and others 1997; Rose and others 2002; Foley and others 2008; McCrea and others 2006), and multiple species of wild animals (Ejidokun and others 2006; Hernandez and others 2003; Kirk and others 2002; Sargeant and others 1999; Pritchard and others 2001; Wetzel and LeJeune 2006) by *E. coli* O157:H7, *S. enterica*, and *C. jejuni* (Miller and Mandrell 2006) has been documented. Pathogen colonization of livestock and wild animals is a dynamic process depending upon how and when pathogens are encountered in the environment (food, grass, water), pathogen fitness in the environment and animal GI tracts (viability, dose), animal contact/commingling and movement, immunity, and fecal shedding. In addition, there are unknown factors that might enhance or diminish pathogens in particular environments, for example, weather conditions, feed, predation, or antimicrobials. One or more of these factors may be important in initiating or contributing to the size of an outbreak.

Studies documenting the incidence of *E. coli* O157:H7 and *Salmonella* in animals are summarized in Table 1.3. Details regarding the methods, periods, locations, and samples studied can be obtained from the original papers cited.

E. coli O157:H7 and Non-O157 STEC

Cattle are major carriers of *E. coli* O157, non-O157 shigatoxin-positive *E. coli* (STEC), *S. enterica* and *C. jejuni* strains (Table 1.3). Strains of the same serovars as those associated with produce outbreaks have been isolated frequently from cattle. Similarly, sheep, pigs, chickens, and turkeys are common or intermittent carriers of these pathogens, and a variety of wildlife species carry these pathogens or related pathogens (Tables 1.1 and 1.3). For example, *E. coli* O157:H7 and non-O157 STEC strains have been isolated from deer (Keene and others 1997; Sargeant and others 1999; Fischer and others 2001; Dunn and others 2004; Renter and others 2006), feral swine (Jay and others 2007), pigeons (Morabito and others 2001), seagulls (Makino and others 2000), starlings, horses, dogs (Hancock and others 1998), barn flies (Keen and others 2006), and slugs (Sproston and others 2006). *Salmonella* has been isolated from deer (Branham and others 2005; Renter and others 2006), badgers (Nielsen and others 1981), wild mice (Tablante and Lane 1989), wild turtles and tortoises (Hidalgo-Vila and others 2007), and a variety of wild birds (Fenlon 1981; Wahlstrom and others 2003; Hughes and others 2008). The concentration of pathogen in wildlife samples is not well documented; thus, the shedding status of wildlife compared to livestock is unclear. Moreover, the quantity of feces shed by different species of wildlife per animal or for a population in a region is unknown, so data relevant to the total amount of pathogen disseminated by a species in any spatial and temporal context also are unknown. The amount of pathogen shed by an animal is extremely relevant epidemiologically for identifying

Table 1.3. Selected studies reporting incidence of *E. coli* O157, *S. enterica*, and *C. jejuni* in livestock and wild animal feces

Pathogen	Animals	Incidence in Feces	Reference
<i>E. coli</i> O157	Beef cattle U.S. (multiple states) and multiple countries	0.3–19.7%, feedlot ^a 0.7–27.3%, pasture 0.9–6.9%, range 0.2–27.8%, slaughter	Review of 39 separate studies: Hussein and Bollinger 2005
<i>E. coli</i> O157	Beef cattle (Scotland)	3.4%, some high shedders ^b	Matthews and others 2006
<i>E. coli</i> O157:H7	Beef cattle Dairy cattle U.S. (multiple states)	3.6% 3.4%	Doane and others 2007
<i>E. coli</i> O157:H7	Beef cattle, hides U.S. (multiple states)	9–85%	Arthur and others 2007b
<i>E. coli</i> O157:H7	Zebu (“humped cattle”)	5.4%	Tuyet and others 2006
Non-O157 <i>E. coli</i>	Beef cattle U.S. (multiple states) and multiple countries	2.1–70.1% overall 4.6–55.9%, feedlot 4.7–44.8%, grazing 2.1–70.1%, slaughter	Review of 21 separate studies: Hussein and Bollinger 2005
<i>E. coli</i> O157	Sheep (U.K.)	6.5%, some high shedders ^b	Ogden and others 2005
<i>E. coli</i> O157	Sheep (U.K.)	2.2%	Chapman and others 1997
<i>E. coli</i> O157	Sheep (U.K.)	0.7%	Milnes and others 2008
<i>E. coli</i> O157:H7	Sheep (U.S.)	4.4%	Keen and others 2006
<i>E. coli</i> O157:H7	Sheep (Spain)	7.3%	Oporto and others 2008
<i>E. coli</i> O157	Pigs (U.K.)	0.4%	Chapman and others 1997
<i>E. coli</i> O157:H7	Pigs (U.S.)	2.0%	Feder and others 2003
<i>E. coli</i> O157:H7	Pigs (Japan)	1.4%	Nakazawa and Akiba 1999
<i>E. coli</i> O157	Pigs (U.K.)	6.7%	Cooper and others 2007
<i>E. coli</i> O157	Pigs (U.K.)	0.3%	Milnes and others 2007
<i>E. coli</i> O157:H7	Pigs (U.S.)	1.2%	Keen and others 2006
<i>E. coli</i> O157:H7	Pigs (U.S.)	8.9%	Doane and others 2007
<i>E. coli</i> O157:H7	Feral swine (U.S.)	14.9% ^c	Jay and others 2007
<i>E. coli</i> O157:H7	Chickens Turkeys (U.S.)	0.9% 7.5%	Doane and others 2007
<i>E. coli</i> O157	Chickens (U.K.)	3.8%	Cooper and others 2007
<i>E. coli</i> O157	Goats (U.K.)	28%	Cooper and others 2007
<i>E. coli</i> O157:H7	Deer (US) 3/32 pellets	9.4%	Keene and others 1997
<i>E. coli</i> O157	Deer (U.S.)	2.4% ^d	Sargeant and others 1999
<i>E. coli</i> O157:H7	Deer (U.S.)	0.5% ^e	Fischer and others 2001
<i>E. coli</i> O157:H7	Deer (U.S.)	0.25% ^f	Renter and others 2001
<i>E. coli</i> O157:H7	Deer (U.S.)	0.3–0.4% ^g	Dunn and others 2004
<i>E. coli</i> O157	Rabbits	?	Pritchard and others 2001; Leclercq and Mahillon 2003
<i>E. coli</i> O157:H7	Ducks	?	Leclercq and Mahillon 2003

Table 1.3. Continued

Pathogen	Animals	Incidence in Feces	Reference
<i>E. coli</i> O157:H7	Fish	4.7%	Tuyet and others 2006
<i>E. coli</i> O157	Rats (Norway)	40% ^b	Cizek and others 1999
Non-O157 EHEC	Rabbits	9–25% ⁱ	Garcia and Fox 2003
<i>S. enterica</i>	Cattle (U.S.)	6.3%	Dargatz and others 2003
<i>S. enterica</i>	Cattle (U.S.)	4.4%	Barkocy-Gallagher and others 2003
<i>S. enterica</i>	Cattle (Australia)	4.5%, grass-fed 9.0%, feedlot	Fegan and others 2004
<i>S. enterica</i>	Cattle (U.K.)	1.4%	Milnes and others 2007
<i>S. enterica</i>	Cattle (U.S.)	13–72%, cows 20–71%, calves	Pangloli and others 2008
<i>S. enterica</i>	Dairy (U.S.)	60–63%, soil 53–67%, water 46–71%, air 13–63%, bird feces 24–85%, insects Feed, 21–92%	Pangloli and others 2008
<i>S. enterica</i>	Goats (U.S.)	3.7%	Branham and others 2005
<i>S. enterica</i>	Sheep (U.S.)	7.3%	Branham and others 2005
<i>S. enterica</i>	Sheep (U.K.)	1.1%	Milnes and others 2007
<i>S. enterica</i>	Pigs (U.K.)	23.4%	Milnes and others 2007
<i>S. enterica</i>	Poultry (U.S.)	50.8%, transport pads 18.7%, flies 14.2%, drag swabs 12%, boot swabs	Bailey and others 2001
<i>S. enterica</i>	Poultry (U.S.)	10.5%, by flocks 1.1%, by row	Kinde and others 2004
<i>S. enterica</i>	Poultry	13.0%, by flocks	Rasschaert and others 2007
<i>S. enterica</i>	Deer (U.S.)	7.7%, rumen	Branham and others 2005
<i>S. enterica</i>	Deer (U.S.)	1.0%	Renter and others 2006
<i>S. enterica</i>	Wild tortoises	100%	Hidalgo-Vila and others 2007
	Wild turtles (Spain)	12–15%	
<i>S. enterica</i>	Wild birds (U.S.)	1.2–3.2%	Kirk and others 2002
<i>S. enterica</i>	Wild birds (U.K.)	0.015%	Hughes and others 2008
<i>Salmonella</i>	Seagulls	12.9%	Fenlon 1981
<i>C. jejuni/C. coli</i>	Cattle, chickens (live), geese, ducks, pigs, sheep (Multiple countries)	0–100% ^j	Review of >20 studies; Miller and Mandrell 2006

^a Ranges of incidence reported for multiple studies; majority of isolates were *E. coli* O157:H7.

^b High shedders, >10,000 CFU/g; majority positive for Stx2.

^c Collected from one ranch in California.

^d 5/212 white-tailed deer.

^e 3/609 individually sampled deer, 1997 and 1998.

^f 4/1608 mostly white-tailed deer, Nebraska, 1998.

^g 1 of 338 hunter-harvested deer, 1 of 226 captive herd deer, Louisiana, 2000–01.

^h 4 of 10 rats; however, negative for H7.

ⁱ Laboratory rabbits; all EHECs positive for Stx1.

^j Cattle, 62% average for 14 studies; chicken (live), 64% for 20 studies; geese/ducks, 55% for 6 studies.

potential sources of pathogen and relevant risk factors for contamination of produce (Chase-Topping and others 2007).

The incidence data listed in Table 1.3 are from selected recent studies; the data reflect the dynamic nature of the incidence associated with different animal hosts, spatial and temporal differences, and a variety of different methods. In a recent review by Hussein and Bollinger, 39 reported studies of the incidence of *E. coli* O157:H7 in thousands of cattle fecal samples from feedlots, pasture/range, and entering slaughter ranged from 0.2 to 28%, depending upon the study and the cattle feeding or production process (Hussein and Bollinger 2005). A previous review of some of the same studies involving animals in Asia, Australia, Europe, and North America (sampling periods between 1991–1999) reported incidence in fecal samples in the range of 0.1 to 62% (Duffy 2003). Indeed, the common occurrence of *E. coli* O157:H7 in cattle is consistent with numerous outbreaks of *E. coli* O157:H7 occurring as a result of direct human contact with animals, feces, or manures at fairs, farms, and other public settings (Duffy 2003; Durso and others 2005; Keen and others 2006, 2007). Similar studies of sheep in the U.K., U.S., and Spain, representing thousands of samples, reported an incidence of *E. coli* O157 that ranged from 0.7 to 7.3%, and for domestic pigs incidence ranged from 0.3 to 8.9% (Table 1.3).

In multiple studies of cattle feedlots and ranches, strains of *E. coli* O157:H7 persisted for up to 24 months at individual farms, and strains indistinguishable by molecular typing methods were isolated from farms separated by up to 50 km (Rice and others 1999; LeJeune and others 2004; Wetzel and LeJeune 2006). Indeed, a link between livestock and human illness with *E. coli* O157:H7 and other STEC has been supported by a direct correlation reported between the density of livestock and amount of reported illness in a region of Ontario, Canada (Michel and others 1999).

Salmonella enterica

Strains of *S. enterica* were isolated from 1.4 to 9% of beef cow fecal samples (Australia, U.S., U.K.) reported in four studies (Table 1.3). In a recent study of 7,680 animal and environmental samples from a single U.S. dairy, 13–72% of the cattle samples (depending upon period of testing), and >50% of air, soil, water, insect, and bird feces samples yielded *S. enterica* (Pangloli and others 2008). Similarly, high incidences of *S. enterica* in pigs were reported in a U.K. study (23.4%), in poultry flocks (10.5 to 13%) in U.S. and Belgium studies, and in poultry production environmental samples (12 to 51%) in a U.S. study (Table 1.3). *S. enterica* has been isolated from 1 to 7% of deer samples in two studies reported and up to 3% of wild bird samples. A multidrug-resistant *S. Newport* strain was prevalent on two different farms for months and shed by a cow for at least 190 days (Cobbold and others 2006), and, as noted above, a strain of SE (PT30) has been isolated from almond orchard soil periodically for at least 5 years (Uesugi and others 2007).

Campylobacter Species

C. jejuni incidence in cattle, poultry, other farm animals, and wild animals has been reported and reviewed (Miller and Mandrell 2006). Although the incidence of *C. jejuni* reported in >20 studies is comparable or higher than those reported and listed for *E. coli* O157 and *Salmonella* in Table 1.3, few major outbreaks of *C. jejuni* associated

with fresh produce have occurred (Mandrell and Brandl 2004). In agreement perhaps, is the absence of any isolation/detection of *C. jejuni* on >6,800 produce samples in recent studies reported (Sagoo and others 2001; Thunberg and others 2002; Moore and others 2002; Sagoo and others 2003), suggesting that *C. jejuni* may be of lesser fitness compared to *E. coli* O157 and *Salmonella* in environments relevant to fresh produce production and preharvest contamination (Brandl and others 2004). Nevertheless, high numbers of sporadic *C. jejuni* illnesses compared to *E. coli* O157 and *Salmonella* (MMWR 2005b, 2007b) suggest surveillance to identify food sources associated with *C. jejuni* illness, including produce, should be continued.

The results summarized in Table 1.3 confirm there are multiple livestock and wildlife sources of pathogens and suggest modes of transport of pathogens for contamination of fresh produce in fields or orchards. Livestock are located near produce production in many locations, but not close enough usually to be considered a major risk. However, resident wildlife species are potential sources of pathogens also, and commingle with livestock on ranches, dairies, or feedlots, thus increasing exposure of livestock and wildlife to pathogens. Wildlife colonized by pathogens will roam and potentially disseminate them to produce or other locations in the vicinity of produce (Jay and others 2007). This presents problems for controlling wildlife intrusion into fields depending upon the size and roaming capability of the species. Small mammals (e.g., squirrels, mice, raccoons), large mammals (feral swine, deer, elk), and birds illustrate the diversity of population sizes, barriers (fencing height, depth, gage), and habitat that are issues in considering interventions to control exposure of wildlife to fields. Therefore, only obvious risk factors can be addressed until definitive data are obtained about major sources of pathogen in an environment.

A few conclusions can be drawn from the selected livestock and wildlife incidence data. First, they reflect the dynamic fluctuations in the incidence of enteric pathogens that can occur and that relatively high incidence of certain pathogens may occur at specific times. Second, there appears to be a general trend in higher incidence of *S. enterica* strains in surveys of animal and environmental samples compared to *E. coli* O157:H7, a trend consistent with the general amount of illness reported for these pathogens in the U.S. and U.K. (MMWR 2005b, 2007b; CDR 2006). In contrast, the recurrent outbreaks of *E. coli* O157:H7, in the absence of any known *Salmonella* outbreaks, associated with leafy vegetables grown in the same region (Table 1.1) is inconsistent with this trend. Perhaps, a study of the incidence of *Salmonella* in the environment of leafy vegetable production would provide clues to explain this paradox.

High-level Shedding of *E. coli* O157:H7 and *Salmonella* by Some Animals

Measuring the prevalence of pathogens in animals and other environmental reservoirs relevant to produce production are informative, but the concentration and total amount of pathogen disseminated is perhaps more relevant to identifying potential risks in a produce production region. However, quantifying pathogen in complex samples is difficult due to the inability to survey livestock and wildlife populations comprehensively and to obtain accurate values with environmental samples containing low concentrations of pathogens in a complex microbial flora.

Cattle shedding high levels of *E. coli* O157:H7 in their feces have been identified in some surveys. The majority of cows positive for *E. coli* O157:H7 in a herd have <100 CFU/g of feces, and this usually is detectable only by preenrichment and immunomagnetic selection methods. However, high-level shedders ("super shedders") have been identified that shed between 1,000 and 1,000,000 CFU/g of feces (Low and others 2005; Chase-Topping and others 2007). Similarly, mice shedding >10⁸ CFU viable *Salmonella* cells per gram of feces have been identified in laboratory studies, and high-shedding status appeared linked directly to the health of the intestinal microflora and level of inflammation in the colon (Lawley and others 2008).

Indeed, models of prevalence, heterogenous shedding, and human infectious dose data are consistent with the "80/20 rule" suggesting that 80% of the transmission of an infectious agent results from the 20% of the most infectious members of the population (Matthews and others 2006). Therefore, colonized animals shedding large doses of a pathogenic strain (or strains) relative to the majority of a herd, or any population, in a region are relevant epidemiologically because the strains they shed are likely to be predominant in the environment. If predominant strains are virulent members of the species also, they are candidates for outbreaks of foodborne illness or other forms of infectious disease (Matthews and others 2006).

Other factors important epidemiologically are the survival of a virulent pathogen in complex environments and its fitness in water, in soil, and on field crops. It is noteworthy then that *E. coli* O157:H7 strains linked to four outbreaks associated with bagged leafy vegetables in 2005 and 2006 (including the baby spinach outbreak, 2006) appear to be part of a phylogenetically distinct group ("clade 8") that includes virulent strains associated with outbreaks from patients who had been hospitalized with hemolytic uremic syndrome and strains associated with increased frequency of hospitalization (Manning and others 2008).

Increased virulence correlates also with a lower infectious dose required for illness. The estimates of the dose of *E. coli* O157:H7, for example, capable of causing illness in a population exposed to contaminated food ranges from 4 to <40 CFU/g of food (Strachan and others 2001; Teunis and others 2004). Thus, a more virulent strain capable of causing illness at an even lower infectious dose emphasizes the risks associated with any pathogen contamination of environments near produce production.

Incidence of Potential Pathogens in Municipal and Agricultural Watersheds

Pathogens shed onto soil on the range, in feedlots, or in other habitats are dispersed and disseminated further by runoff into watersheds. Table 1.4 summarizes the results of some selected recent studies of the incidence and fitness of *E. coli* O157:H7 and *S. enterica* in municipal or agricultural watersheds because they have been the bacterial pathogens linked most frequently with recent outbreaks associated with preharvest contamination of fresh produce (Table 1.1).

The incidence of *E. coli* O157:H7 in watersheds has been reported to be low generally (<2%) compared to *Salmonella*, reflecting probably the general concentration of the pathogens in the water samples. Strains of *E. coli*, potentially pathogenic based on the presence of known virulence genes (*tir* and *stx*), were isolated frequently in one U.S. study, indicating that specific urban watersheds can be contaminated heavily

Table 1.4. Incidence of pathogenic *E. coli* and *S. enterica* in municipal and agricultural watersheds

Description	Pathogen	Reference
Alberta, Canada; watershed near agriculture; 1999–2000; not associated with manure output	<i>E. coli</i> O157:H7 (13/1483 = 0.9%) <i>Salmonella</i> (88/1429 = 6.2%)	Johnson and others 2003
Baltimore, MD, area, U.S.; 2002–04; potential pathogens	<i>E. coli</i> , <i>tir</i> and/or <i>Stx-pos</i> (653/1218 = 53%)	Higgins and others 2005
California, central coast, U.S.; agricultural and urban watersheds; 2005–06	<i>E. coli</i> O157:H7 (38/584 = 6.5%)	Cooley and others 2007
France, near Mediterranean; agricultural and urban; 1996–97	<i>Salmonella</i> (574/? = ?) ^a	Baudart and others 2000
Central African Republic; N'Goila	<i>E. coli</i> O157:H7 (6/260 = 2.3%)	Tuyet and others 2006
Cornwall, U.K.; freshwater stream crossing beach; 2004; outbreak-associated	<i>E. coli</i> O157:H7 (5/? = ?%) ^a	Ihekweazu and others 2006
Georgia, U.S.; single day, 83 sites on river; 2005	<i>Salmonella</i> (62/83 = 75%)	Meinersmann and others 2008

^aTotal number of samples tested was not noted.

with potential pathogens (Higgins and others 2005). However, the lack of any evidence of human illness associated with these strains suggests that they are not highly virulent compared to *E. coli* O157:H7.

Four of the studies listed in Table 1.4 were initiated as a result of high levels of illness and outbreaks of *E. coli* O157:H7 associated with exposure to water or food (Johnson and others 2003; Ihekweazu and others 2006; Tuyet and others 2006; Cooley and others 2007). One of these studies of a watershed in a major leafy vegetable production region of the U.S. was initiated as a result of three separate outbreaks of *E. coli* O157:H7 linked to leafy vegetables grown in the Salinas Valley region of California (Table 1.1), and possibly linked to a single farm (Cooley and others 2007). Water samples obtained monthly on average from <20 sites, most within approximately 30 km of one another, revealed that >6% of the samples were positive for *E. coli* O157:H7. Sites nearby cattle grazing in elevated regions of the watershed were positive more frequently, and samples obtained during or subsequent to heavy rain events with increased water flow correlated with increased incidence at specific sites. Also, strains indistinguishable or highly related by genotype were isolated at the same time up to 30 km apart, or from the same sites months apart (Cooley and others 2007). Similarly, outbreak investigations of farms and ranches in the central coast region of California have provided clues to intriguing fate and transport relationships from assessment of genotypes of strains of *E. coli* O157:H7 isolated from environmental and wildlife samples obtained at similar times and locations (Cooley and others 2007; Jay and others 2007). Predominant strains may be persistent in some environments and transported by the commingling of wildlife and livestock into watersheds and possibly fields where produce is grown. However, the amounts of pathogen, their

persistence in soil, and the processes involved in exposure of seedlings or mature plants to pathogen are unclear. In the absence of an effective "kill step" for postharvest produce, it remains important to identify sources of pathogens and their fate and transport in produce environments; this may assist in development of strategies for preventing contamination of produce destined for the ready-to-eat market.

Fate and Transport of Human Pathogens in the Environment

It has been difficult to determine the primary source of preharvest produce contamination; however, nearby livestock, poultry, or other farm animals are obvious potential point sources for further dissemination in the environment, and linked possibly to produce (Table 1.3). Potential mechanisms for dissemination of pathogens from contained farms or feedlots are movement of livestock to new locations, wildlife intrusion, water runoff/flooding (Table 1.4), dust/bioaerosols, manure/compost/compost-tea fertilizers, and possibly other intra- and interfarm human activities (farm vehicles and equipment).

For pathogens to be transported outside an animal host, they must remain fit enough to survive (and possibly grow) until they encounter an environment favorable for growth. Findings from previous studies measuring the survival of pathogenic *E. coli* and *Salmonella* in manure, soil, and water are relevant to hypotheses about how preharvest contamination occurs. Table 1.5 is a list of selected studies that provide a comparison of measured fitness characteristics of *E. coli* O157, *E. coli* O157:H7, and *Salmonella* in environments relevant to fresh produce contamination, including manure, soil, manure-amended soil, and water. It is worth noting that some of these studies report the incidence of pathogens in their natural state in relevant environmental samples, whereas others involved spiking samples with marked strains and then monitoring their incidence over a period of time.

Each study listed in Table 1.5 involved different locations and experimental conditions; however, it is noteworthy that outcomes generally were consistent. For example, in nearly all studies, *E. coli* O157 or *E. coli* O157:H7 remains detectable in some samples for >30 days, but longer than 6 months in other samples (Table 1.5; cow water trough, sheep manure, manure-amended soil). *Salmonella* cells were detectable for similar periods of time (e.g., soil, manure-amended soil), but an outbreak strain was detectable for >1500 days in soil samples from an almond orchard linked to the outbreak (see below). Similarly, multiple strains of *E. coli* O157 were isolated for months from biofilms on flint shingles immersed in stream beds exposed to runoff from farm animals positive for the pathogen (Cooper and others 2007).

These studies support the persistence theory and possible mechanisms of periodic reintroduction of pathogens in agricultural environments. Conversely, a recent study of potential pathogens isolated from livestock and then inoculated onto spinach and lettuce in field plots reported rapid die-off of a shigatoxin-negative strain of *E. coli* O157:H7; this was in contrast to the survival of a strain of *S. Enteritidis* for at least 14 days (Hutchison and others 2008). These contrasting results emphasize again the variability of pathogen survival in complex environments, and the dependence of results probably upon pathogen fitness, experimental design (field versus microcosm), and other factors (spatial, temporal, indigenous flora, disease, etc.), any of which might

Table 1.5. Selected studies of the fitness of *E. coli* O157, *E. coli* O157:H7, and *Salmonella* in environmental samples or microcosms

Pathogen	Environment	Maximum Survival (Days)	Reference
<i>E. coli</i> O157:H7	Water, 8 °C	>91	Wang and Doyle 1998
	Water, 25 °C	<84	
<i>E. coli</i> O157	Water trough, sediment	245	LeJeune and others 2001
<i>E. coli</i> O157:H7	Water, <15 °C	14	McGee and others 2002
	Water + feces, <15 °C	24	
<i>E. coli</i> O157	Water, biofilms	>30	Cooper and others 2007
<i>E. coli</i> O157:H7	Water: lake, river, drinking trough	6 to >60	Avery and others 2008
	microcosms	Lake > river	
<i>E. coli</i> O157	Soil	105	Ogden and others 2002
<i>E. coli</i> O157	Soil, manure-amended (child illness)	69	Mukherjee and others 2006
<i>E. coli</i> O157:H7	Soil, manure-amended	>35	Williams and others 2007
<i>E. coli</i> O157:H7 (Stx-neg)	Soil, 36 types	54–105	Franz and others 2008
<i>E. coli</i> O157:H7	Soil, cover crops	40–96	Gagliardi and Karns 2002
<i>E. coli</i> O157:H7	Manure, cow	47	Kudva and others 1998
	Manure, sheep	>600	
<i>E. coli</i> O157 (Stx-neg)	Feces, cow	97	Scott and others 2006
	Water	109	
<i>E. coli</i> O157:H7	Feces, cow, turned	42	Fremaux and others 2007
	Feces, cow, unturned	90	
<i>E. coli</i> O157:H7	Manure, cow	21	Himathongkham and others 1999
	Manure, slurry	35	
<i>E. coli</i> O157:H7 (Stx-neg)	Soil, manure-amended	154–217	Islam and others 2004, 2005
	Lettuce	77	
	Parsley	177	
	Onions	74	
	Carrots	168	
<i>E. coli</i> O157:H7 (Stx-neg)	Lettuce and spinach	<7	Hutchison and others 2008
<i>S. enterica</i>	Water, river	>45	Santo Domingo and others 2000
<i>S. enterica</i>	Soil, chicken farm	240	Davies and Breslin 2003
<i>S. enterica</i>	Soil	>120	Holley and others 2006
<i>S. Newport</i>	Soil, manure-amended	107–332	You and others 2006
	Manure, cow	49–184	
<i>S. Enteritidis</i>	Soil, almond orchard	>1500	Uesugi and others 2007
<i>S. enterica</i>	Soil, tomato crop debris (microcosm) ^a	56	Barak and Liang 2008
<i>S. Enteritidis</i>	Lettuce and spinach	>14 to <21	Hutchison and others 2008

^a Some soils included crop debris from tomato plants infected with the pathogen *Xanthomonas campestris* and colonized with *S. enterica*.

in some combination be conducive to pathogen survival, growth, and, in some instances, increased virulence in a leafy vegetable-associated outbreak (Table 1.1). These results reflect a "snapshot" of the pathogen under the selected test or environmental conditions, in addition to a spectrum of fitness characteristics of the pathogen assessed.

Two studies relevant to concepts of persistence of specific pathogen strains in a preharvest environment and direct links to human illness are worth noting. A survey of a family garden subsequent to the O157:H7 illness of a child playing in the raw manure-amended garden revealed that strains indistinguishable from the child's strain were detectable in soil samples from the garden for >69 days, and that incidence was much higher in soil sampled during ambient temperatures compared to 4 °C (Mukherjee and others 2006). Similarly, strains of *S. Enteritidis* Phage Type 30 associated with at least one outbreak linked to raw almonds, and possibly a second (Table 1.1), were isolated over at least a 5-year period from soil drag swab samples obtained in an orchard linked to the outbreak (Uesugi and others 2007). The *Salmonella* strain, indistinguishable from outbreak strains, was isolated from soil more frequently during and after harvests (average 20–42% of samples, Aug–Dec), and in >50% of soil samples following a heavy rain event. Although the virulence and infectiousness of an environmental pathogen strain cannot be compared to related human clinical strains, the sets of *E. coli* O157:H7 and *S. Enteritidis* PT 30 environmental strains noted above are closely related epidemiologically to the corresponding clinical strains. It can be speculated that persistence of these pathogen strains in the garden and orchard environments may relate directly to the evolution of fitness characteristics that correlate also with virulence (Manning and others 2008).

Manure-amended soil, plants and plant debris appear to be beneficial to the survival of *E. coli* O157:H7 and *Salmonella* (Table 1.5). Ruminant-digested grasses and feeds and crop debris have nutrients supporting survival and possibly growth of enteric pathogens under the appropriate environmental conditions, including temperature, moisture, and atmosphere (Brandl 2006). For example, *E. coli* cells present naturally in cow feces placed in shaded and nonshaded fields increased 1.5 log after 6 to 8 days, declining fast in nonshaded fecal samples and then rebounding >1 log in nonshaded samples after rain events (Van Kessel and others 2007). In contrast, *E. coli* in air-dried sandy and silty soils amended with municipal sludge (biosolids) declined more slowly than in moist soils; up to 3 log differences were noted after 35 compared to 91 days in the field (Lang and Smith 2007). These studies are monitoring generic rather than pathogenic *E. coli*; however, the results are informative about different feces (cow, human), exposure to sun (UV) or moisture, and rates of resuscitation in rain—important environmental factors affecting pathogens in the environment. *E. coli* O157 and *S. enterica*, and generic *E. coli* as fecal indicator bacteria, appear capable of surviving months or even years under the appropriate environmental conditions and, under optimal conditions, they grow 1 to 3 logs (Table 1.5). Indeed, in a recent study of *Salmonella* in tomato crop debris, it appears this may be another aspect of the preharvest environment worth considering as a site conducive to survival or growth of pathogen for extended periods of time (Barak and Liang 2008). Tomato seeds planted in soil with *Salmonella*-contaminated tomato crop debris resulted in plants contaminated with *Salmonella* in the rhizoplane > phyllosphere. *Salmonella* survived

well in the tomato phyllosphere of plants from seeds inoculated with the tomato plant pathogen, *Xanthomonas campestris* pv. *vesicatoria* and planted in low *Salmonella* inoculum soil, indicating the potential importance of debris, plant disease, and fallow periods in the preharvest produce production cycle (Barak and Liang 2008). Thus, breakdown of tomato crop debris by plant pathogens may enhance the conditions for even better survival or growth of a human pathogen (Barak and Liang 2008; Brandl 2006; Brandl and Amundson 2008). Pathogen reservoirs where tenfold or more growth of pathogen may occur are critical risk factors relevant to food contamination. High-shedding animals; manure; crop and/or ground cover debris; and produce plant seedlings, leaves, and roots are candidate sites for amplification. Unidentified reservoirs of amplification, such as wild animals, microorganisms, and plants, may exist also.

Source-Tracking Pathogens and Fecal Indicators of Contamination in Watersheds

The epidemiology of major produce-associated outbreaks occurring in the last decade has revealed that preharvest contamination occurs (Table 1.1). However, surveys of fresh produce at different stages in the production and processing cycle indicate that bacterial pathogens are at low incidence generally (Beuchat 1996; Harris and others 2003; Nguyen-the and Carlin 1994, 2000), even though fecal indicator bacteria (*E. coli*) present appear to increase in prevalence during transport and distribution (Table 1.2) to wholesale and retail markets (Valentin-Bon and others 2008). Therefore, specific events following preharvest contamination are important to identify also since they may provide clues to amplification sites resulting in a high incidence or concentration.

An important stage in preharvest contamination is movement onto fields, and more importantly, onto or into seedlings or the mature plants. Water (Table 1.4; irrigation, flooding), intrusion by animals either directly (Table 1.3; wildlife, domestic, humans) or indirectly (fertilizer, compost), and dust are potential mechanisms of contamination. Water quality is a primary factor in production of safe fresh produce, and irrigation water comes from a variety of sources dependent upon the type of produce and location.

The majority of leafy vegetable production in the region of the U.S. implicated in outbreaks involves irrigation with well water of high quality relative to surface water that may be nearby. Indeed, well water was reported to be the source of irrigation of leafy vegetables associated with recent outbreaks (CalFERT 2007b, 2008). It is noteworthy also that U.S. winter produce production occurs mainly in the Imperial Valley of California and the Yuma region of Arizona, where irrigation water is sourced often from surface water. In contrast, outbreaks associated with produce from these locations have not occurred or have been rare (Table 1.1). Obviously, the quality of water in lakes, ponds, reservoirs, and watersheds is critical to produce production even when it is not used directly for irrigation. Surface water could be a major source of pathogens affecting aquifer recharging, exposure of animals to colonization, and/or transport to produce fields by irrigation, or processes as yet unidentified.

Watersheds are impaired by the presence of fecal bacteria from livestock, wildlife, and humans. Any fecal contamination increases the probability of enteric pathogen contamination of produce either directly or indirectly. The level of impairment is

dependent upon many factors related to the geography and ecology within and surrounding the watershed, including the density of animals, hydrology, elevation/runoff, meteorological conditions (e.g., rainfall and temperature), pathogen fitness (Table 1.5), water composition (salinity, nutrients), predation, and vegetation. Waterborne disease outbreaks in the U.S. (1948–1994) and Canada (1975–2001) occur more frequently following heavy rain events, indicating transport of pathogens from human, domestic animal, livestock, or wildlife sources through runoff, and, ultimately, contamination of drinking water supplies (Curriero and others 2001; Thomas and others 2006). Although no definitive links between heavy rain events and human illness have been reported, flood contamination of fields or irrigation water sources intended for growing produce is a potential risk factor for illness (CDHS 2005).

Watershed hydrology may be crucial to understanding pathogen transport within an environment. Hydrological processes are relevant to transport of pathogens in the environment, including fecal disintegration and dispersion, resuscitation of pathogens in arid environments, trapping of pathogens in wetlands, concentration of pathogens on or in sediment particles, land-to-watershed-to-land movement, and exposure of wildlife to pathogens (Ferguson and others 2003). Similarly, the soil and sediment particles present in flowing or static water bodies can interact and bind with microorganisms by mechanisms that are not well defined, and likely vary depending upon variations in soil, fecal and water composition, weather, and other factors (Gagliardi and Karns 2000; Brookes and others 2004; Ferguson and others 2003). Transport of pathogens in dust, on harvest equipment, in manure/compost and pesticide and herbicide sprays diluted with surface water should be considered also.

Pathogens and microbial species as indicators of fecal contamination can be prevalent in environments near produce production (Tables 1.3 and 1.4). Sensitive and accurate detection of specific pathogens in the environment to track the fate and transport of pathogens to fields requires intensive sampling, successful isolation of pathogens or fecal indicator microorganisms, and efficient molecular genotyping methods for microbial source tracking pathogens in relevant and complex environments (Field and Samadpour 2007; Meays and others 2004). A variety of different source tracking methods have been developed to identify sources of fecal contamination, sometimes yielding mixed results and accuracy (Field and Samadpour 2007; Stoeckel and others 2004). Microbial source tracking methods have evolved to include modern genetic methods that involve fingerprinting isolates from the environment and different animal hosts to create a database for comparing fingerprints of new strains to those in the database and thus identify putative sources of fecal contamination (Field and Samadpour 2007).

Pulsed field gel electrophoresis (PFGE) remains a common method for fingerprinting foodborne pathogens, mainly because of CDC's PulseNet database, which stores PFGE profiles submitted by public health labs representing tens of thousands of sporadic and outbreak strains for comparison (Swaminathan and others 2001). However, sequence-based typing methods, such as MultiLocus Variable number tandem repeat Analysis (MLVA), MultiLocus Sequence Typing (MLST), and Single Nucleotide Polymorphism (SNP) microarrays, are gaining in acceptance due to ease of use, speed, and high-resolution data for comparisons.

MLVA is an effective method for genotyping *E. coli* O157:H7 (Hyytia-Trees and others 2006) and is being evaluated also for *S. Enteritidis*. MLVA proved effective in

environmental studies involving tracking *E. coli* O157:H7 strains in produce production environments, watersheds, and cattle feedlots (Cooley and others 2007; Murphy and others 2008). An intriguing finding in the 2006 investigation of the *E. coli* O157:H7 multistate outbreak linked to bagged baby spinach was the isolation of multiple strains of *E. coli* O157:H7 from the feces of multiple feral swine trapped in the vicinity of the suspected spinach field; some of these isolates, and isolates from cow fecal, river, and dirt samples also collected within a mile of the field, were indistinguishable from the clinical outbreak strains (Jay and others 2007; Cooley and others 2007). Similarly, evidence of transport of *E. coli* O157:H7 strains between dairy farms by wild birds has been reported (Wetzel and LeJeune 2006).

How Do Pathogens Get onto Preharvest Produce and Survive?

Hypotheses from Recent Outbreaks

The transient incidence of pathogens in livestock, wildlife (Table 1.3), and watersheds (Table 1.4), the environmental fitness characteristics of foodborne pathogens (Table 1.5), and recurring outbreaks of foodborne illness associated with ready-to-eat produce (Table 1.1) are consistent with the findings of low-level, but significant, incidence of generic *E. coli* on fresh produce obtained from distribution centers and retail markets (Table 1.2). Although some of this *E. coli* could be present at harvest, postharvest contamination also could occur in a variety of ways, such as rodents, contaminated bins or transport vehicles, commingling of food at retail markets or restaurants, or ill workers. Postharvest cross-contamination could exacerbate what might have been a limited contamination event initially.

Preharvest contamination of produce occurs by obvious processes, but perhaps also by unknown, or less well understood, processes. Although no definitive conclusions have been offered about the sources of preharvest contamination of leafy vegetables and tomatoes associated with recent outbreaks (Table 1.1), reasonable hypotheses involve transport of pathogen in animal fecal waste by 1) watershed to flooded fields (CDHS 2005), 2) feral swine intrusion (Jay and others 2007), 3) irrigation by pipes used previously to remove dairy holding pond waste (CalFERT 2008), and 4) amphibian or other wild animals emerging from contaminated surface water to intrude into fields (MMWR 2005a).

Water is a central factor in hypotheses of contamination, so studies of the dispersion and dissemination of microbes in water and the use of microbes as tracers of water movement are relevant to understanding dissemination of enteric pathogens in water. Heavy rainfall is associated with rapid dispersal of pathogens from fecal matter on the ground into surface and groundwater (Ferguson and others 2003). Pathogen incidence and survival in feces, water, soil, and other matrices (Table 1.3, 1.4, 1.5) are relevant for modeling environmental contamination of preharvest produce, identifying sources, and controlling contamination, but details are lacking about how different species of bacteria, including pathogens, disperse and survive in water and other sites in the production environment and how this might relate to preharvest contamination.

Bacteria, yeasts, and bacteriophage have been used as tracers by dosing a large number of laboratory-grown cells (approximately 10^{14} cells) into a river and monitoring movement (Wimpenny and others 1972). The bacterial strain traced, *S. marcescens*

(distinctive red colonies), for example, moved in the river at approximately 2.5 km/hr over the 2.9 km between the dosing and detection points. The dosed strain was detected at a maximum of 500 cells/ml, which reflected a significant dilution ($>1.7 \times 10^8$ -fold) of the bacteria during transport (Wimpenny and others 1972). To achieve a comparable amount of *E. coli* O157:H7 from "high-shedder" cattle feces (e.g., 10^6 cells/g), for example, would require $>200,000$ kg of feces.

In a separate study in an elevated region within miles of leafy vegetable production, transport of *E. coli* O157:H7 strains was tracked from a point source (small corral with a few head of cattle) into a small stream (Cooley and others 2007). Indistinguishable or related pathogen strains identified by MLVA genotyping were isolated at the point source and up to 135 m downstream (3 m lower altitude) from the point source. However, water flow was relatively low prior to and at the time of sampling (Cooley and others 2007).

Isolation and/or detection of pathogens in water at distant sites from a suspected point source, therefore, might involve one or more of the following: large volumes of feces and/or high-shedding animals, very sensitive detection of few pathogen cells, multiple point sources with related strains, or transport mechanisms (e.g., cell-cell or cell-particulate aggregates, mats, flotation) different than those reflected by laboratory cultured microorganisms in tracer studies. Accurate tracer studies of pathogens in the environment would be advantageous for understanding fate and transport mechanisms relevant to produce contamination.

Pathogens in animal feces deposited on rangeland, feedlots, or dairy alleys, and into storage ponds are exposed to dispersion, transport, and inactivation that could be affected by soil and fecal matrices, particle sizes, buoyancy, microbial competitors/predators or cooperators, and even climate (rainfall, temperature, UV exposure). It is noteworthy that during the 2006 outbreak of *E. coli* O157:H7 associated with bagged baby spinach, unusually high daily temperatures occurred at the time of planting: July 22–25, 2006: max. daily 100–110 °F (37.7–43.3 °C); ave. daily 77–85 °F (25–29.4 °C), and approximately 5–6 days prior to harvest (CalFERT 2007b,c). This unusual condition stimulates questions regarding when contamination occurred in the crop cycle and whether high temperatures may have enhanced survival or growth of pathogen in the preharvest environment. For example, *E. coli* O157 has been shown to survive and increase in number with increasing temperature (10–30 °C) in natural freshwater microcosms containing low concentrations of organic carbon (Vital and others 2008). The direct correlation between pathogen growth and water temperature is consistent with enteric bacteria that have evolved to grow optimally at body temperatures.

Survival of Human Pathogens on Preharvest Plants

Outbreaks associated with preharvest contaminated produce confirm that enteric bacteria are capable of attaching somewhere on the plants and remaining viable (Tables 1.1 and 1.3). Field studies with nonpathogenic varieties of *E. coli* O157:H7 and other pathogens on plants under field conditions confirm that they can survive for weeks and months depending upon the amount of bacteria applied and the treatment conditions (Tables 1.2 and 1.3). Laboratory studies indicate that *E. coli* O157:H7 and *Salmonella* applied to a variety of plant roots, leaves, and seeds can attach tenaciously (resisting sanitization) and survive, but also in some instances grow when conditions

are ideal for a pathogen (warm temperature, high humidity, adequate nutrients) (Brandl 2006). Sophisticated fluorescence microscopy experiments have revealed specific locations on leaves and roots where subcuticular cells, root hairs, or breaks in the tissue (e.g., lateral root formation) provide sites and nutrients for harboring opportunistic pathogen cells. Aggregation of enteric pathogen cells with one another and with plant epiphytic or plant pathogen microflora suggest that active and complex interactions may occur on plants in the field, resulting possibly in interactions/contamination very difficult to remove by normal washing or sanitizing methods (Brandl 2006). In addition, there appears to be emerging support for the hypothesis that some human pathogen cells on plants may become internalized through different routes of entry on roots, shoots, and flowers (Guo and others 2001; Solomon and others 2002; Warriner and others 2003; Dong and others 2003; Franz and others 2007; Doyle and Erickson 2008; Schikora and others 2008). Indeed, recent reports examining the plant response to potential human pathogens in model plant systems (*Arabidopsis thaliana* mutants and gene expression arrays) indicate that genes and gene pathways are upregulated similarly to plant resistance responses to plant pathogens (Dong and others 2003; Thilmony and others 2006; Schikora and others 2008). Thus, the potential for some human pathogens to be endopathogenic for some plant hosts in a preharvest environment raises obvious concerns regarding postharvest treatments for decontamination.

Reviews of different mechanisms that plant epiphytes and pathogens and human enteric pathogens use to attach to plants (Mandrell and others 2006; Solomon and others 2006) and an excellent review of the general biology, ecology, and fitness characteristics of human enteric pathogens on plants have been published previously (Brandl 2006). Further details about the molecular interactions that can occur between bacterial human pathogens (e.g., flagellin, fimbriae, pili, curli, outer membrane proteins) and plants (generally undefined), and the microbial ecology on plants that may enhance or control pathogen survival are provided in these reviews and also chapters elsewhere in this book.

Conclusions

The increased incidence of produce-related outbreaks tracked to specific regions, and *E. coli* O157:H7 outbreaks in particular, has stimulated questions about what might have changed over the last decade to explain this increase. Is it related to growing (fertilization, water, shallow tilling, seeds, cultivars) or production practices (cutting, transport, bagging, atmosphere), changes in the pathogens (increased fitness in animals, water), livestock (transport, incidence of pathogens), or better detection (methods, public health system, media)? Clearly, some of these questions raise issues that would be considered higher risk factors than others and worthy of prioritizing for research.

Most people can appreciate that animals or feces on or near fresh produce fields are major potential risk factors, probably worthy of attempts to prevent continued intrusion. Lacking convincing evidence of pathogen carriage by a suspect animal species, however, becomes problematic for making informed decisions about mitigation approaches (predation, fencing, testing). Indeed, lack of definitive proof of sources of pathogens has created a significant conflict between conservationists, environmentalists, and growers on one side versus those in the produce industry responsible for

addressing preharvest produce food safety issues. The conflict involves a contradiction between creation of vegetative zones for filtering runoff from fields and wildlife habitat, and the perceived risks of attracting to this habitat wildlife colonized possibly with pathogens (Berreti and Stuart 2008). Some compromise between these competing interests will be necessary for sustaining the valuable locations where produce is grown and improving the quality and safety of produce.

As noted above, a convergence of multiple events probably is required to cause a major outbreak, implying that each event alone may be insufficient. The changes in pathogen incidence and virulence in a preharvest food production environment can be speculated to be associated with corresponding and dynamic changes in the biology, ecology, hydrology, meteorology, and agricultural practices in an environment. Considering the impossibility of controlling certain aspects of the ready-to-eat produce production environment, it is logical to assume that additional outbreaks will occur. Intensive practices leading to exposure of pathogens to complex environments, or significant replication of microorganisms, will increase the rates of new mutations and fitness in environments where mutations are beneficial. Modern molecular biology techniques (genomics) are facilitating the fingerprinting of outbreak-related pathogen strains for purposes of high-resolution tracking of the possible sources of contamination in preharvest environments. Also, comparative genomics of these data reveal insights about pathogen evolution and emergence of virulence-related factors that raise questions about whether produce outbreak-related pathogens are more virulent and have special fitness characteristics (Zhang and others 2006; Manning and others 2008). The rapid changes possible in bacterial genomes by mutations, phage insertions and deletions, and recombination, as examples, predict the emergence from high-intensity environments (food production) of organisms with selected fitness characteristics that reflect the environment. If some of these fitness characteristics are virulence traits in humans (i.e., pathogens), pathogens will be identified through studies of human illness.

Considering the known potential risk factors in the preharvest environment documented above, some approaches for preventing contamination of food can be offered. Common sense approaches include maintaining water quality and minimizing exposure of fields to wild animals, surface water (flooding), and dust from agricultural activity. Other less obvious approaches requiring more resources are identifying high-shedding livestock or wildlife, treatment of livestock with effective vaccines or other antimicrobials, checking and maintaining feed quality, observing field conditions (wildlife intrusions), redirecting or destroying suspect produce, and controlling wild animal habitat. Postharvest approaches involve sample testing (test and hold), clean water, novel sanitizers (chemical or biological), and irradiation, to name a few. More details regarding interventions will be discussed in other sections of this book.

Finally, it should be noted again that the incidence of illness linked to contaminated produce is quite low relative to the total number of produce consumptions. Nevertheless, the increased incidence of outbreaks and the apparent hypervirulence of pathogen strains associated with some of these outbreaks (Manning and others 2008), emphasize that continued vigilance is necessary to minimize the severity of any outbreaks that might occur. Until a highly effective and nontoxic "kill step" is developed for eliminating pathogens from postharvest fresh produce, pathogens in the preharvest environment deserve our serious attention and continuing research efforts.

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